



Docket No. 1115

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: James F. McGuckin, Jr., et al

Serial No.: 10/025,506

Group Art Unit: Unknown

Filed: December 19, 2001

Examiner: Unknown

For: **DIALYSIS CATHETER**

Assistant Commissioner For Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

Date of Deposit: 7/29/02

I hereby certify that the following:

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- ☒ Information Disclosure Statement
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*Neil Gershon*

Neil Gershon  
Rex Medical  
2023 Summer Street  
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Stamford, CT 06905  
203 348-0377



Docket: 1115

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**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Assistant Commissioner of Patents  
Washington, D.C. 20231

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**INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's duty of disclosure under 37 C.F.R. §1.56, Applicants submit the enclosed references for the Examiner's consideration.

It is respectfully requested that the reference(s) submitted with Form PTO-1449 be considered during Examination of the above-identified application and made of record therein. A copy of the reference(s) is/are enclosed. This submission is believed to be in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98.

The citation of the listed item(s) is not a representation that it constitutes a complete or exhaustive listing of prior art or that it constitutes prior art. The item(s) listed is/are submitted in good faith, but is/are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner, it will assist the Examiner in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

( ) This information disclosure statement is being filed within three (3) months of the filing date of this application.

( ) This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application.

(X) To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action in connection with this case.

Enclosed is a certificate under 37 C.F.R. §1.97 (e)(i).

Enclosed is a petition under 37 C.F.R. §1.97 (d)

( ) Please charge the petition fee of \$130.00 required under 37 C.F.R. §1.17 (i)(1) to Deposit Account No. 501567

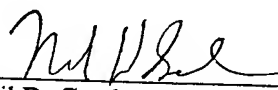
( ) As set forth in 37 C.F.R. §1.97 (c), to the best of Applicant(s) knowledge, this information disclosure statement is being filed before either the mailing of a final action under 37 C.F.R. §1.113 or the mailing of a notice of allowance under 37 C.F.R. §1.113, and is accompanied by the \$240.00 fee as provided for in 37 C.F.R. §1.17(p).

( ) Please charge the \$240.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 501567.

(X) Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 37 C.F.R. §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 501567. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend time as required and charge Deposit Account No. 501567 therefor. **TWO COPIES OF THIS SHEET ARE ENCLOSED.**

Early and favorable consideration of the case is respectfully requested.

Dated: 7/29/02

  
Neil D. Gershon  
Registration No. 32,225  
Attorney for the Applicants

Rex Medical, L.P.  
2023 Summer St.  
Suite 2  
Stamford, CT. 06905

Form PTO-1449 U.S. DEPARTMENT OF  
COMMERCE PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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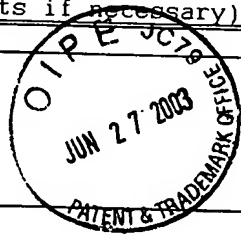
10/025,506

APPLICANT

James F. McGuckin, Jr., et al

FILING DATE

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GROUP Art Unit:  
Unknown

## U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3 9 3 8 5 3 0	02/17/76	Santomieri			
	4 1 3 4 4 0 2	01/16/79	Mahurkar			
	4 4 5 1 2 5 2	05/29/84	Martin			
	4 4 9 3 6 9 6	01/15/85	Uldall			
	4 5 6 8 3 2 9	02/04/86	Mahurkar			
	4 5 8 3 9 6 8	04/22/86	Mahurkar			
	4 6 1 9 6 4 3	10/28/86	Bai			
	4 6 2 3 3 2 7	11/18/86	Mahurkar			
	4 6 4 3 7 1 1	02/17/87	Bates			
	4 6 8 2 9 7 8	07/28/87	Martin			
	4 7 3 8 6 6 7	04/19/88	Galloway			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	0 4 4 0 9 9 2	08/14/91	EPO			YES NO
WO	0 1 7 6 6 7 7	10/18/01	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Journal of Vascular and Interventional Radiology 12:376-378 (2001). "Sheathless Technique of Ash Split-Cath Insertion", Aalpen Patel, MD, Stephen Hofkin, MD, David Ball, DO, Gary Cohen, MD and Douglas C. Smith, MD.

EXAMINER

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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STATEMENT BY APPLICANT

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10/025,506

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APPLICANT

James F. McGuckin, Jr., et al

FILING DATE

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GROUP Art Unit:

Unknown

## U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 8 0 8 1 5 5	02/28/89	Mahurkar			
	4 8 0 8 1 6 3	02/28/89	Laub			
	4 9 5 0 2 5 9	08/21/90	Geary, et al			
	4 9 6 8 3 0 7	11/06/90	Dake, et al			
	4 9 9 4 0 2 7	02/19/91	Farrell			
	5 0 5 3 0 0 4	10/01/91	Markel, et al			
	5 0 5 3 0 2 3	10/01/91	Martin			
	5 0 5 7 0 7 3	10/15/91	Martin			
	5 1 2 2 1 2 5	06/16/92	Deuss			
	5 1 3 9 4 8 6	08/18/92	Moss			
	5 1 6 7 6 2 3	12/01/92	Cianci, et al			
	5 1 9 5 9 6 2	03/23/93	Martin, et al			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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STATEMENT BY APPLICANT

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## U.S. PATENT DOCUMENT

EXAMINER INITIALS								DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	2	0	7	6	4	8	05/04/93	Gross			
		5	2	0	7	6	5	0	05/04/93	Martin			
		5	2	0	9	7	2	3	05/11/93	Twardowski, et al			
		5	2	1	5	5	2	7	06/01/93	Beck, et al.			
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		5	2	4	6	4	3	0	09/21/93	MacFarlane			
		5	2	7	3	5	2	7	12/28/93	Schatz, et al			
		5	3	4	6	4	7	1	09/13/94	Raulerson			
		5	3	4	8	5	3	6	09/20/94	Young, et al			
		5	3	5	0	3	5	8	09/27/94	Martin			

FOREIGN PATENT DOCUMENTS

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		DOCUMENT NUMBER						DATE		NAME										
		5	5	4	2	9	2	5	08/06/96	Orth										
		5	5	5	6	3	9	0	09/17/96	Hicks										
		5	5	7	1	0	9	3	11/05/96	Cruz, et al										
		5	6	6	2	6	0	6	09/02/97	Cimino, et al										
		5	7	1	3	8	4	9	02/03/98	Bosma, et al										
		5	7	1	8	6	7	8	02/17/98	Fleming, III										
		5	7	9	2	1	2	3	08/11/98	Ensminger										
		5	8	0	7	3	2	9	09/15/98	Gelman										
		5	8	3	0	1	9	6	11/03/98	Hicks										
		5	9	5	7	8	9	3	09/28/99	Luther, et al										
		5	9	6	1	4	8	6	10/05/99	Twardowski, et al										

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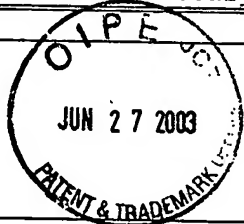
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		
APPLICANT James F. McGuckin, Jr., et al		
FILING DATE December 19, 2001		GROUP Art Unit: Unknown



## U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 9 7 6 1 2 0	11/02/99	Chow, et al			
	5 9 8 4 9 0 8	11/16/99	Davis, et al			
	6 0 5 9 7 7 1	05/09/00	Balbierz, et al			
	6 1 0 6 5 4 0	08/22/00	White, et al			
	6 1 2 0 4 9 4	09/19/00	Jonkman			
	6 1 4 6 3 5 4	11/14/00	Beil			
	6 1 4 6 3 7 3	11/14/00	Cragg, et al			
	6 1 5 2 9 0 9	11/28/00	Bagaoisan, et al			
	6 1 8 0 0 5 9	01/30/01	Divino, Jr., et al			
	6 1 9 0 3 4 9	02/20/01	Ash, et al			
	6 1 9 3 6 8 5	02/27/01	Goodin			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

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Applicant: James F. McGuckin, Jr., et al  
Serial No.: 10/025,506 Group Art Unit: Unknown  
Filed: December 19, 2001 Examiner: Unknown  
For: DIALYSIS CATHETER

Assistant Commissioner For Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

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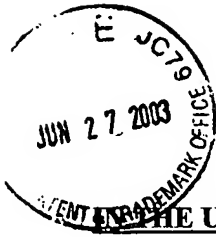
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- ☒ Supplemental Information Disclosure Statement
- ☒ PTO Form 1449 & copy of cited references
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Applicant: James F. McGuckin, Jr., et al.

Serial No: 10/025,506

Group Art Unit: Unknown

Filed: December 19, 2001

Examiner: Unknown

For: **DIALYSIS CATHETER**

Assistant Commissioner of Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicants' duty of disclosure under 37 C.F.R §1.56 and in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98, Applicants submit for the Examiner's consideration the enclosed reference(s) cited on the accompanying Form PTO-1449.

Applicants respectfully request that the reference(s) submitted with Form PTO-1449 be considered during examination of the above-identified application and made of record therein.

The citation of the listed item(s) is/are not a representation that it constitutes a complete or exhaustive listing of prior art or that it constitutes prior art.

As set forth in 37 C.F.R. §1.97 (b), to the best of Applicant(s) knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. No fee is believed required. However, any fee deficiency can be charged to Deposit Account 501567.

Dated: 8/19/20

Neil D. Gershon  
Registration No. 32,225  
Attorney for the Applicants

Rex Medical, L.P.  
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Stamford, CT. 06905

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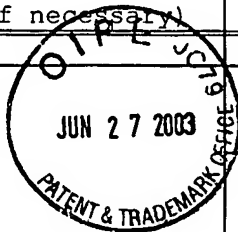
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10/025,506

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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APPLICANT

James F. McGuckin, Jr., et al

FILING DATE

December 19, 2001

GROUP Art Unit:

Unknown

## U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 4 0 6 6 5 6	09/27/83	Hattler, et al			
	4 5 8 1 0 1 2	04/08/86	Brown, et al			
	4 6 7 0 0 0 9	06/02/87	Bullock			
	4 7 6 9 0 1 6	09/06/88	Labianca			
	4 7 7 6 8 4 1	10/11/88	Catalano			
	4 8 4 2 5 8 2	06/27/89	Mahurkar			
	4 9 6 1 8 0 9	10/09/90	Martin			
	5 0 5 9 1 7 0	10/22/91	Cameron			
	5 1 3 5 5 9 9	08/04/92	Martin, et al			
	5 2 7 9 5 9 6	01/18/94	Castaneda, et al			
	5 3 8 2 2 3 8	01/17/95	Abrahamson, et al			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO


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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		
	APPLICANT James F. McGuckin, Jr., et al	
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## U.S. PATENT DOCUMENT

XAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 4 5 1 2 0 6	09/19/95	Young			
	5 5 0 9 8 9 7	04/23/96	Twardowski, et al			
	5 5 6 9 1 8 2	10/29/96	Twardowski, et al			
	5 7 5 2 9 3 9	05/19/98	Makoto			
	5 8 8 2 3 4 7	03/16/99	Mouris-Laan, et al			
	5 9 1 3 8 4 8	06/22/99	Luther, et al			
	5 9 1 6 2 0 8	06/29/99	Luther, et al			
	5 9 4 7 9 5 3	09/07/99	Ash, et al			
	5 9 7 6 1 0 3	11/02/99	Martin			
	5 9 8 9 2 0 6	11/23/99	Prosl, et al			
	6 0 3 6 6 5 4	03/14/00	Quinn, et al			

## FOREIGN PATENT DOCUMENTS

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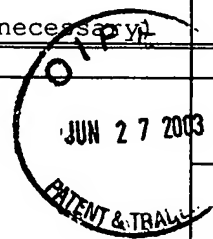

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	6	1	5	6	0	1	6	12/05/00	Maginot			
	6	1	9	0	3	7	1	02/20/01	Maginot, et al			
	6	2	9	3	9	2	7	09/25/01	McGuckin, Jr.			
	6	3	2	8	7	3	0	12/11/01	Harkrider, Jr.			
	6	3	6	1	5	2	9	03/26/02	Goodin, et al			

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